

## Financial Alternatives, Inc.

### Business Continuity Plan Brief

- Securities industry regulations require that Registered Investment Advisors maintain plans to address the possibility of a business disruption that potentially results from power outages, natural disasters, or other events.
- Financial Alternatives, Inc. has a plan in place, which we review on a regular basis. The plan provides for continuation of client service in many cases.
- No contingency plan can eliminate all risk of service interruption; however, we attempt to mitigate all reasonable risk through our Business Continuity Plan.

### Examples

- If our La Jolla office was inoperable for some reason such as a power outage. Employees can perform client services from alternate site(s) in a geographically separate location.
- If data on our servers was destroyed, utilize established data backup systems.
- If Jim were incapacitated, legal documents would enable Chris to continue our business operations and serve clients.

### Alternate Contact Information

- If the office telephone is not working, please try to contact us by email:
  - [jim@financialalternatives.com](mailto:jim@financialalternatives.com)
  - [chris@financialalternatives.com](mailto:chris@financialalternatives.com)
- If that does not work, please use these backup methods:
  - [jamesafreeman@live.com](mailto:jamesafreeman@live.com)
  - [chrisjaccard@live.com](mailto:chrisjaccard@live.com)
  - Jim's mobile phone: (858)999-5412
  - Chris' mobile phone: (858)349-1253
- These professional organizations may be able to provide information or support:
  - NAPFA: [www.napfa.org](http://www.napfa.org) (800)366-2732
  - FPA: [www.fpanet.org](http://www.fpanet.org) (303)-759-4900

### Investment Accounts Information

- For service on your Fidelity accounts, call Fidelity Retail at (800)544-666, visit a local branch office or [www.fidelity.com](http://www.fidelity.com). You may also use the following mailing address:
  - Fidelity Investments  
PO Box 5000  
Cincinnati, OH 45273-8008
- For service on your Schwab accounts, call Schwab Alliance at (800)515-2157, visit a local branch office or [www.schwaballiance.com](http://www.schwaballiance.com). You may also use the following mailing address:
  - Charles Schwab & Co., Inc.  
PO Box 982603  
El Paso, TX 79998-9952
- For service on your Equity accounts, call Equity Customer Service at (888)382-4727, or visit [www.myequity.com](http://www.myequity.com). You may also use the following mailing address:
  - Equity Trust Company  
P.O. Box 45290  
Westlake, OH 44145